

16367 U.S. PTO
011604

PTO/SB/05 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	47328
First Inventor	Stuart M. Nemser
Title	Cyclic Membrane Separation Process
Express Mail Label No.	EU113400945US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
- Oath or Declaration [Total Sheets]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Authorization to debit deposit acct.....
Certificate of Express Mail.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application. The incorporation can only be relied upon when a portion has been inadvertently omitted from the

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 020454

Name

Address

City

Country

State

Telephone

Zip Code

Fax

Name (Print/Type) Jeffrey C. LEW

Registration No. (Attorney/Agent) 35935

Signature

Date

16 Jan 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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31355 U.S. PTO
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PATENT MARK OFFICE

016604

16367 U.S. PTO

PTO/SB/17 (10-03)

EU 113 400 945 US

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 665

Complete if Known

Application Number
Filing Date
First Named Inventor **Stuart M. Nemser**
Examiner Name
Art Unit
Attorney Docket No. **47328**

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number **121211**

Deposit Account Name **Jeffrey C. LEW**

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	665
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 665

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims	11	-20** =	0	X		0
Multiple Dependent	2	-3** =	0	X		0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

SUBMITTED BY

Name (Print/Type)	Jeffrey C. LEW	Registration No. (Attorney/Agent)	35935	Telephone	302 475-7919
Signature		Date	16 Jan 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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EU113400945US
Attorney Docket No. 47328

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:
Stuart M. Nemser

Serial No.:

Filed: January 16, 2004

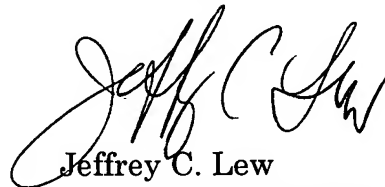
For: CYCLIC MEMBRANE SEPARATION PROCESS

AUTHORIZATION TO CHARGE/CREDIT DEPOSIT ACCOUNT

Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450
Dear Sir:

In connection with the fee transmittal(s) submitted herewith for the above-identified patent application, if any variance exists between the amount enclosed and the Patent Office charges for filing the submitted documents, including any fees required under 37 C.F.R. §1.136 for any necessary extension of time to make the filing of the submitted documents timely, the United States Patent and Trademarks Office is hereby authorized to charge or credit the difference to Deposit Account No. 121211 of the undersigned.

Respectfully submitted,



Jeffrey C. Lew
Attorney for Applicant
Registration No. 35,935
Telephone: (302) 475-7919
Facsimile: (302) 475-7915

Date: January 16, 2004

2205 Silverside Road
Wilmington DE 19810

Attorney Docket No. 47328

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

STUART M. NEMSER

Serial No.: Not yet known

Filed: January 16, 2004

For: CYCLIC MEMBRANE SEPARATION PROCESS

CERTIFICATE OF MAILING BY "EXPRESS MAIL

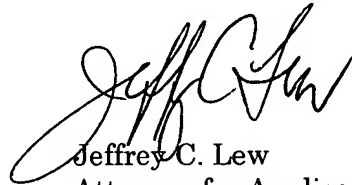
Express Mail Label No. EU113400945US

MS Patent Application
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

I, the undersigned, hereby certify that this and the attached papers and fees listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 and are addressed to MS PATENT APPLICATION, COMMISSIONER FOR PATENTS PO BOX 1450, ALEXANDRIA VA 22313-1450 on this date: January 16, 2004.

Utility Patent Transmittal - 1 page
Specification - 22 pages
Drawings - 5 sheets
Declaration and Power of Attorney - 1 pages
Information Disclosure Statement - 3 pages
Copy of information cited in Information Disclosure Statement 29 references
Application Data Sheet - 2 pages
Fee Transmittal form - 1 page
Form Authorizing Charge/Credit to Deposit Account - 1 page
Check 2043 in amount of \$665 in payment of application filing fee.
This Certificate of Express Mail
Return receipt post card

Respectfully submitted,



Jeffrey C. Lew
Attorney for Applicant
Registration No. 35,935
Telephone: (302) 475-7919
Facsimile: (302) 475-7915

Date: January 16, 2004

2205 Silverside Road
Wilmington DE 19810